AP3 R6C'0 PCT/PTO 2 7 MAR 2005 PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 2003P13008

| CONCERNING A SUBMIS | U.S. APPLICATION NO. (ITKINAM), See 37 CFR 1.5) | | | | | | | | | |
|--|---|-------------------|--|--|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/EP2004/052060 | INTERNATIONAL F September 7 | | PRIORITY DATE CLAIMED September 26, 2003 | | | | | | | |
| TITLE OF INVENTION Optical Module Comprising an Image Sensor and a Lens Unit That is Supported on the Sensitive Surface of the Image Sensor | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Henryk Frenzel, Harald Schmidt, and Stephan Voltz | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. X The US has been elected (Article 3 | X The US has been elected (Article 31). | | | | | | | | | |
| A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| a. \overline{X} is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| b. has been communicate | b. has been communicated by the International Bureau. | | | | | | | | | |
| c. is not required, as the | | | | | | | | | | |
| 6. X An English language translation | | | | | | | | | | |
| a. $oxed{X}$ is attached hereto. | a. X is attached hereto. | | | | | | | | | |
| b. has been previously so | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. Amendments to the claims of the | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| a. are attached hereto (i | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. have been communic | b. have been communicated by the International Bureau. | | | | | | | | | |
| c. have not been made; | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| d. have not been made | d. have not been made and will not be made. | | | | | | | | | |
| 8. An English language translation | of the amendments to the clair | ms under PCT Art | icle 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. X An oath or declaration of the inve | entor(s) (35 U.S.C. 371(c)(4)). | SIGNED | | | | | | | | |
| 10. An English language translation Article 36 (35 U.S.C. 371(c)(5)). | of the annexes of the Internation | nal Preliminary E | xamination Report under PCT | | | | | | | |
| Items 11 to 20 below concern docume | ent(s) or information included | d: | | | | | | | | |
| 11. X An Information Disclosure Stater | ment under 37 CFR 1.97 and 1 | .98. | | | | | | | | |
| <u> </u> | cording. A separate cover sheet | in compliance wit | th 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. X A preliminary amendment. | | | | | | | | | | |
| 14. An Application Data Sheet under | r 37 CFR 1.76. | | | | | | | | | |
| 15. A substitute specification. | | | | | | | | | | |
| 16. X A power of attorney and/or change | ge of address letter. | | | | | | | | | |
| 17. A computer-readable form of the | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. A second copy of the published I | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. X Other items or information: Inte | rnational Search Repor | t | · | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

INDS POC'D PCT/PTO 27 MAR 2006
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APPLICATION NO. (If known_see 37, CFR 1.5)

INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER

| 19/573544 | | | | PCT/EP2004/052060 | | 2003P13008 | | | |
|---|--|---------------------------|---|------------------------|--------------------------|---------------|--|--|--|
| | owing fees have b | CALCULATIONS PTO USE ONLY | | | | | | | |
| 21. 💢 Basid | c national fee | \$ 300.00 | | | | | | | |
| If International pr PCT Article 33 | nination fee reliminary examin 8(1)-(4) | \$ 200.00 | | | | | | | |
| Search fee (37 Cl | nal Searching Aut rch Report prepar | 400.00 | | | | | | | |
| | TOTAL OF 21, 22 | \$ 900.00 |) | | | | | | |
| sequence lis | e for specification sting or computer 250 for each addit | | | | | | | | |
| Total Sheets | Extra Sheets | | additional 50 or fraction p to a whole number) | RATE | | | | | |
| - 100 = | /50 = | | | x \$250 | \$ 0.00 | | | | |
| Surcharge of \$13 claimed priority de | | \$ | | | | | | | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | | | | |
| Total claims | | 15 - 20 = | 0 | x \$ 50 | \$ 0.00 | | | | |
| Independent clair | ns | 1 -3= | 0 | × \$200 | \$ 0.00 |) | | | |
| MULTIPLE DEPE | NDENT CLAIM(S | s) (if applicable) | | + \$360 | \$ | | | | |
| TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | \$ 0.00 | <u> </u> | | | |
| Applicant cla | ins small entity si | alus. See 37 CFR | 1.27. Fees above are reduc- | | \$ 0.00 | | | | |
| SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest | | | | | 0.00 | | | | |
| claimed priority date (37 CFR 1.492(i)). + | | | | | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | | \$ 900.00 | | | | |
| Fee for recording by an appropriate | | \$ | 0.00 | | | | | | |
| | | | TOTAL F | EES ENCLOSED = | \$ | 900.00 | | | |
| | | Amount to be refunded: | \$ | | | | | | |
| | | | | | Amount to be charged: | \$ | | | |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1099 A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| | | | FR 1.495 has not been met n to pending status. | , a petition to revive | (37 CFR 1.137(a) or (b)) | must be filed | | | |
| and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | | | | | |
| To the address | | 7010 | | | | | | | |
| Werner H. Stemer NAME | | | | | | | | | |
| 34,956 REGISTRATION NUMBER | | | | | | | | | |

10./573544 IAPS Rasid PCT/PTO 27 MAR 2006

EXPRESS MAIL CERTIFICATION UNDER 37 C.F.R. 1.10

Docket No.: 2003P13008

"Express Mail" mailing label number: EV 842395819 US

Date of Deposit: March 27, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Michael Burns JSurum

10/573544

'APO DOO'G POTIPTO 27 MAR 2006.

Docket No.: 2003P13008

CERTIFICATION

I, the below named translator, hereby declare that: my name and post office address are as stated below; that I am knowledgeable in the English and German languages, and that I believe that the attached text is a true and complete translation of PCT/EP2004/052060, filed with the European Patent Office on September 7, 2004.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Hollywood, Florida

Pehekka Dierre

March 27, 2006

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